



TPW

PATENT
Application No. 10/657,119
Attorney Docket No. 09108.0003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
KAZUTAKA AKIYAMA) Group Art Unit: 2814
Application No.: 10/657,119) Examiner: P. Cao
Filed: September 9, 2003) Confirmation No.: 9224
For: A SEMICONDUCTOR DEVICE)
INCLUDING A MIM CAPACITOR)
(as amended))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicant brings to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement includes a certification as specified by Section 1.97(e).

The document listed in this Information Disclosure Statement was first cited in a Notification of Reasons for Rejection issued by the Japanese Patent Office in a counterpart Japanese application, and this Information Disclosure Statement is being filed within three months of the mailing date of the Notification.

Copies of the listed foreign and non-patent literature documents are attached.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

In lieu of a statement of relevance or translation of the non-English document, an English language version of the Notification citing the document and setting forth the relevance thereof is enclosed. In addition, an English language Abstract of the document is provided.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

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If there is any fee due in connection with the filing of this Statement, please
charge the fee to Deposit Account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: 6/15/07

By: Richard V. Burgujian, Reg. No. 53,232
for Reg. No. 31,744

Complete if Known

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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of

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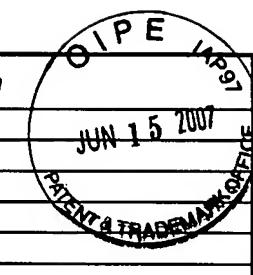
10/657,119

September 9, 2003

Kazutaka Akiyama

2814

P. Cao



09108.0003

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

| Examiner Initials ¹ | Cite No. ¹ | Document Number | Issue or Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS

| Examiner Initials ¹ | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation ⁶ |
|--------------------------------|-----------------------|---|--------------------------------|---|---|--------------------------|
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | | JP 2003-17575 | 01-17-2003 | Matsushita Electric Ind. Co. Ltd. | | Abstract |
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NONPATENT LITERATURE DOCUMENTS

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|--------------------------------|-----------------------|---|--------------------------|
| Examiner Initials ¹ | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation ⁶ |
| | | Notification of Reasons for Rejection issued by the Japanese Patent Office on March 20, 2007, for Japanese Patent Application No. 2003-194419, and English-language translation thereof | |
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| Examiner Signature | | Date Considered | |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.